



MAIL STOP PETITION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: R.G. Gration et al.

Attorney Docket No.: CASM114222

Application No.: 09/355,169

Group Art Unit: 1774

Filed: August 30, 1999

Examiner: B. Shewareged

Title: PRINTED MATERIAL PRODUCING REFLECTIVE INTAGLIO EFFECT

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PETITION UNDER 37 C.F.R. § 1.181 TO WITHDRAW HOLDING OF ABANDONMENT

Seattle, Washington 98101

September 8, 2003

TO THE COMMISSIONER FOR PATENTS:

1. Applicants respectfully petition the Commissioner to withdraw the holding of abandonment based on Applicants' failure to receive the Notice of Allowance and Notice of Allowability.

2. This petition is filed in response to a Notice of Allowance and Notice of Allowability apparently mailed by the U.S. Patent and Trademark Office (PTO) on February 20, 2003, setting a date of May 20, 2003, for payment of the issue fee.

3. Applicants' representative first became aware of the Notice of Allowance and Notice of Allowability on July 11, 2003, when his paralegal made a routine inquiry regarding the application's status through the PTO's online Patent Application Information Retrieval (PAIR) system.

4. Subsequently, the undersigned representative's assistant contacted the PTO by telephone and requested a copy of the Notice of Allowance and Notice of Allowability. The undersigned representative's assistant was referred to the PTO's Petitions Branch, where the assistant spoke with Petitions Attorney Derek Woods. Petitions Attorney Woods promptly requested the file so that a copy of the Notice of Allowance and Notice of Allowability could be forwarded to Applicants' representative. The undersigned representative's assistant has spoken

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

repeatedly with Petitions Attorney Woods, but as of this date, Applicants' representative has not received a copy of the Notice of Allowance and Notice of Allowability.

5. Attached as Exhibits A and B are copies of the file jacket and docket record where the Notice of Allowance would have been entered had it been received and docketed, as set forth in MPEP 711.03(c)(II).

6. Applicants' undersigned representative hereby asserts that, based on his review of the application file and routinely kept docket records, the mail originals of the Notice of Allowance and Notice of Allowability mailed by the PTO on February 20, 2003, were not received, and attests to the fact that a search of the file jacket and docket records indicates that the Notice of Allowance and Notice of Allowability were not received.

7. The issue fee for this application is submitted herewith in the form of Check No. 150673, in the amount of \$1600. The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required, or to credit any overpayment, to Deposit Account No. 03-1740.

8. In view of the above statements and the enclosed records, applicants' undersigned representative respectfully requests the holding of abandonment be withdrawn.

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CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

9. Pursuant to MPEP § 711.03(c), no petition fee is required for this petition.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Brandon C. Stallman  
Registration No. 46,468  
Direct Dial No. 206.695.1708

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date:

September 8, 2003



BCS:ylc

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1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

# PATENT APPLICATION

INVENTOR(s)	PHONE
GRATION RG	
GHIOSHILU A	
HIBBERT CR	
ZIENTEK P	

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ASSIGNEE Securency Pty Ltd  
 CONTACT \_\_\_\_\_ PHONE \_\_\_\_\_  
 EXAMINER \_\_\_\_\_ PHONE \_\_\_\_\_

SM. ENTITY NO  
 DATE \_\_\_\_\_

ID	DATE	FILE DOCUMENT
A	7/23/99	Trans. ltr; ltr. 19 amend; prelim amend; Int'l Prelim Exam report; check
	8/5/99	Postcard recd
	8/18/99	Not. of Missing Requirements
	8/25/99	2nd Submission: #170,000; RRP; Decl + POA; Missing Parts
	10/25/99	Information Disclosure Statement/PTD 1449 (3 Refs) Filed
	1/31/00	Not of Acceptance under 35 USC 37
	2/11/00	Filing Receipt Recd
	4/15/00	Assignment
	2/27/01	Restriction Required
	3/27/01	Response to Restriction Requirement
	4/18/01	Office Action
	7/17/01	Response to Office Action
	10/4/01	Office Action
	11/4/01	Amendment
	4/10/02	FINAL Office Action
	8/12/02	CPA; Prelim Amend; IDS; 5 Ref; Fee
	8/19/02	CPA Postcard Thru
	8/30/02	<del>IDS</del> Supplemental IDS; 4 Refs.
	8/30/02	CORRECTED FILING RECEIPT
	10/23/02	Office Action
	1/22/03	Amendment and Response
	1/2/03	Notice of Publ.
	7/1/03	Notice of Abandonment

ATTORNEY: BSK/BSK/BSK TITLE: PRINTED MATTER PRODUCING REFLECTIVE INTELLIGENT EFFECT  
 CLIENT REF. \_\_\_\_\_ ATENT NO. \_\_\_\_\_  
 ASSOC. REF. \_\_\_\_\_ ISSUED \_\_\_\_\_ SERIAL NO. \_\_\_\_\_  
 ASSOCIATE \_\_\_\_\_ FILING DA \_\_\_\_\_

Matter No.	CASM-1-0014222	Matter Resp	GSK
Subtype	Published	Matter Asgn	BCS
Client Name	Freehills Carter Smith Beadle	Barcode	CASM-1-14222
Client Ref.	M40392241:TNB:TJH:ss		CASM-1-14222(X)
Client's Matter No.		Country/State	USA
Inventor(s)	R.G. Gration, A. Ghioghiu, C.R. Hibbert, P. Zientek		
Title	Printed Matter Producing Reflective Intelligent Effect		

**EXHIBIT** A

CASM-1-0014222

Printed Matter Producing Reflective...

Seq	Type	Subtype	Action	Due	Completed
33	FU	NOA	Office Action/Notice of Allowance?	6/23/03	
2	AC	AC	Awaiting Filing Particulars	9/23/99	8/6/99
4	PP	MR	Missing Requirements 1st Extension	10/18/99	9/16/99
5	PP	MR	Missing Requirements 2nd Extension	11/18/99	9/16/99
6	PP	MR	Missing Requirements 3rd Extension	12/18/99	9/16/99
7	PP	MR	Missing Requirements 4th Extension	1/18/00	9/16/99
8	PP	DDMR	Missing Requirements 5th Extension/Drop Dead	2/18/00	9/16/99
1	PP	CR	Citation of References	10/23/99	10/27/99
9	FU	FR	Filing Receipt?	4/30/00	2/14/00
10	PP	RR	Restriction Required 1st Extension	4/27/01	3/30/01
11	PP	RR	Restriction Required 2nd Extension	5/27/01	3/30/01
12	PP	RR	Restriction Required 3rd Extension	6/27/01	3/30/01
13	PP	RR	Restriction Required 4th Extension	7/27/01	3/30/01
14	PP	DDRR	Restriction Required 5th Extension/Drop Dead	8/27/01	3/30/01
15	PP	OA	Respond Office Action 1st Extension	8/18/01	7/17/01
16	PP	OA	Respond Office Action 2nd Extension	9/18/01	7/17/01
17	PP	DDOA	Respond Office Action 3rd Extension	10/18/01	7/17/01
18	PP	OA	Respond Office Action 1st Extension	2/4/02	1/9/02
19	PP	OA	Respond Office Action 2nd Extension	3/4/02	1/9/02
20	PP	DDOA	Respond Office Action 3rd Extension	4/4/02	1/9/02
3	FU	NOA	Office Action/Notice of Allowance?	6/4/02	4/15/02
21	PP	FOA	Respond FINAL Office Action (2 Months)	6/10/02	7/29/02
22	PP	FOA	Respond FINAL Office Action Short Statutory Period/Appeal	7/10/02	7/29/02
23	PP	FOA	Respond FINAL Office Action 1st Extension/Appeal	8/10/02	8/20/02
24	PP	FOA	Respond FINAL Office Action 2nd Extension/Appeal	9/10/02	8/20/02
25	PP	DDFOA	Respond FINAL Office Action 3rd Extension/Appeal/Drop Dead	10/10/02	8/20/02
26	FU	CP	CPA Postcard?	9/12/02	8/21/02

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Seq	Type	Subtype	Action	Due	Completed
28	PP	CR	Citation of References	11/12/02	9/30/02
27	FU	NOA	Office Action/Notice of Allowance?	1/12/03	10/29/02
29	PP	OA	Respond Office Action Short Statutory Period	1/23/03	1/24/03
30	PP	OA	Respond Office Action 1st Extension	2/23/03	1/24/03
31	PP	OA	Respond Office Action 2nd Extension	3/23/03	1/24/03
32	PP	DDOA	Respond Office Action 3rd Extension	4/23/03	1/24/03